



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov



CONFIRMATION NO. 3843

Bib Data Sheet

SERIAL NUMBER 09/845,224	FILING DATE 04/30/2001 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. 9628-009
-----------------------------	-----------------------------------	--------------	------------------------	------------------------------------

APPLICANTS

Walter D. Buist, Hasbrouck Heights, NJ;
 Mark F. Loehr, Larchmont, NY;

OK JL

** CONTINUING DATA *****

THIS APPLN CLAIMS BENEFIT OF 60/202,379 05/04/2000

NONE JL

** FOREIGN APPLICATIONS *****

IF REQUIRED, FOREIGN FILING LICENSE GRANTED.. SMALL ENTITY ..

** 06/22/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no		
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance		
Verified and Acknowledged	Examiner's Signature <u>1/22/05</u> Initials <u>9/22/05</u>		
STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
NJ	14	3	3

ADDRESS

20583

TITLE

Method and apparatus for auctioning securities

FILING FEE RECEIVED 420	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
----------------------------	---	---